



## IUXTA CAPITAL FINANCE PRE-APPLICATION

*IUXTA - Latin: "next to" a symbiotic relationship with other companies and people.*

### INTRODUCTION

Our objective is to *stand beside you as a project partner* providing funding from investors who are looking for the results of well conceived, structured and managed investments. If your project qualifies for finance you will have the opportunity to participate in the decision making regarding the structure of the funding placed in your project.

Recent problems in the finance industry can be traced to fundamental problems in the way investments were being structured and secured:

1. Registered security over an asset is only useful if the asset retains its value or if the borrower is able to meet the payments required;
2. Recent examples have demonstrated the compounding effect of defaulting payments causing insecurity in the markets and consequently leading to declining asset values;
3. "Borrowing short and lending long" is fundamentally risky as it requires new investment to keep the fund going over the life of the loans made.

Generally there has been a serious lack of connection between the lender (including banks, fund managers, finance companies and their trustees) and the market or asset group into which the loans have been placed.

Our objective is to address these fundamental problems by enabling direct investment and security arrangements in respect of the asset into which loans are placed, and to then manage the investment with a team of experienced professionals.

Iuxta Capital investments will be placed in activities being undertaken, managed or overseen by the Maxim Group of companies. We will be focusing on investments that make sense because they are based on project outcomes – not leverage or structure within the financial instrument itself.

Iuxta Capital understands and is committed to transparency, disclosure and compliance with the Reserve Banks' strategies and recommendations for non-bank financiers, designed to manage the future health of the finance sector. Our approach is direct and simple – honest, transparent, well secured investments will always be the focus – no other strategy works in the long term.

Iuxta Capital Limited invites you to apply for funding by initially completing the pre-application details on the following pages.



## FINANCE APPLICATION

### APPLICANTS DETAILS

Applicant Type: (tick One) Company <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Trader <input type="checkbox"/> Trust <input type="checkbox"/> Personal <input type="checkbox"/>	
Full Legal Name:	
Trading as:	
Street Address:	
PO Box Number:	
Phone:	Fax:
Mobile:	
Email:	
Bank:	
Accountant:	
Insurance / Broker:	

### SHAREHOLDERS / PARTNERS / SOLE TRADERS DETAILS

Names	Addresses	Director	DOB
Shareholding			
1		y/n	/ /
%			
2		y/n	/ /
%			
3		y/n	/ /
%			
4		y/n	/ /
%			
Authorising Officer	Position	Ph ( )	
Nature of Business:			
Years in Business:			
Annual Turnover \$		Average Annual Profit (before tax) \$	



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## SIGNATURE AND DECLARATION

I/We certify, acknowledge and understand, as or on behalf of the Applicant that:

1. all of the information in this Application and any other information supplied is and will be true and correct;
2. I/We will notify luxta Capital immediately if there is any change in the Applicant's circumstances or any information given in this application;
3. failure to provide any information requested may lead to luxta Capital declining my/our application;
4. I/we have read and understood the provisions contained within this Application;
5. the completion of this Application does not constitute an offer of finance and that this Application may be rejected by luxta Capital for any reason;
6. I am/each of us is 18 years of age or older and not an undischarged bankrupt or liable under the Insolvency Act 2006 and its amendments.

### THE PRIVACY ACT 1993

7. I/we authorise IUXTA\* to collect, retain and use personal information about me/us, for the purposes of:
  - a. Assessing my/our credit worthiness;
  - b. Attending to the financing, whether directly or indirectly, of my/our contract(s) and enforcing IUXTA's rights thereunder;
  - c. Marketing and/or informing me/us about the goods and services provided by IUXTA.
8. I/we authorise IUXTA to collect from credit reporting agencies, credit providers, my/our employer(s), accountant(s), relatives, or other person(s) such personal, financial and commercial information about me/us for the said purposes.
9. I/we authorise IUXTA to provide this information:
  - a. To any person for the foregoing purposes;
  - b. To employees, agents and advisors of IUXTA, and any other person, in any ordinary course of business, for any of the foregoing purposes;
  - c. To credit agencies for the purpose of maintaining proper or effective records.
10. I/we acknowledge that if I/we do not provide all or any part of the information requested on this application form, my/our application for finance may be declined. I/we further acknowledge that, pursuant to the Privacy Act 1993, I/we have a right of access to information collected by IUXTA about me/us and to request that this information be corrected. The information will be held at the office of IUXTA, Level 6, 137 Armagh Street, Christchurch, New Zealand.

\*IUXTA means IUXTA Capital Limited, its employees, agents and advisors.

Signed

Date

Post to: luxta Capital Limited, PO Box 36-441, Merivale, Christchurch, 8014, NEW ZEALAND

Fax to: +64 (3) 943 2272